

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.

700 KOPPERS BUILDING

436 SEVENTH AVENUE

PITTSBURGH, PA 15219-1818

TELEPHONE 412-471-8815

FAX 412-471-4094

E-MAIL [webblaw@webblaw.com](mailto:webblaw@webblaw.com)

PATENT, TRADEMARK & COPYRIGHT LAW

JULIE W. MEDER

LESTER N. FORTNEY

RANDALL A. NOTZEN

JAMES G. PORCELLI

KENT E. BALDAUF, JR.

CHRISTIAN E. SCHUSTER

THOMAS J. CLINTON

DEAN E. GEIBEL

NATHAN J. PREPELKA

JESSICA M. SOSENKO

KIRK M. MILES

J. MATTHEW PRITCHARD IV

PATENT AGENT

GARY F. MATZ

WILLIAM H. LOGSDON  
RUSSELL D. ORKIN  
DAVID C. HANSON  
FREDERICK B. ZIESENHEIM  
RICHARD L. BYRNE  
KENT E. BALDAUF  
BARBARA E. JOHNSON  
PAUL M. REZNICK  
JOHN W. McILVAINE III  
BLYNN L. SHIDELER

WILLIAM H. WEBB (1929-1997)

June 19, 2001

VIA CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Mr. Suresh C. Gupta  
3351 Rossmore Way  
Los Alamitos, CA 90720

Re: U.S. Continuation Application Serial No. 09/840,572 entitled  
"Electrical System for Turbine/Alternator on Common Shaft"  
Our File 010698 Your Ref: ELL-427

Dear Mr. Gupta:

As you are aware, we have been prosecuting a United States application as well as corresponding foreign applications on the above-identified invention. We recently filed a continuation application in the United States and are once again faced with a requirement for submitting certain formal papers so that the application can proceed to examination on the merits. You have already been sent copies of the previously filed United States application, and the United States continuation application is merely a copy of the application already in your possession.

To that effect, I am enclosing originals of the following documents for you to sign and date:

1. "Declaration and Power of Attorney" for the United States continuation application; and
2. "Assignment" for the United States continuation application.

A self-addressed, stamped envelope is enclosed for your convenience in returning these signed documents to me.

Mr. Suresh C. Gupta

-2-

June 19, 2001

If you have any questions, please contact me. Otherwise, I look forward to receiving the signed documents as soon as possible, as we are facing a mid-July 2001 filing deadline in the United States Patent and Trademark Office.

Very truly yours,



Richard L. Byrne

RLB/dp

Enclosures

bcc: William K. Cox, Esq. (w/o encl.)  
Hideyuki Kuwajima (w/o encl.)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
SURESH C. GUPTA et al. : ELECTRICAL SYSTEM  
Serial No. 09/840,572 : FOR TURBINE/ALTERNATOR  
ON COMMON SHAFT  
Filed April 23, 2001 :  
Group Art Unit: 3746 :  
Examiner Not Yet Assigned :

Pittsburgh, Pennsylvania  
July 23, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

Sir:

Enclosed for your review and filing are the following documents to complete the filing of the United States patent application, filed April 23, 2001, bearing serial no. 09/840,572, which application is a continuation of Application serial no. 09/319,390, filed July 19, 2000.

1. a Declaration and Power of Attorney signed by three (3) of the four (4) joint inventors on their own behalf and on behalf of the one omitted inventor who refused to sign;
2. a Petition to File Under 37 C.F.R. § 1.47(a);

3. a Declaration of Facts by Richard L. Byrne, Esquire, including copies of appendices;

4. the Part 2 of the Notice to File Missing Parts of Nonprovisional Application dated May 23, 2001;

5. a check in the amount of \$130.00 to cover the surcharge for late filing of the formal documents for the above-referenced patent application; and

6. a check in the amount of \$130.00 to cover the fee for the Petition to File Under 37 C.F.R. § 1.47(a).

The Commissioner for Patents is hereby authorized to charge any additional fees which may be required as set forth in 37 C.F.R. 1.16 and 1.17, or credit any overpayment to Deposit Account No. 23-0650. The original and two copies of this Letter are attached.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON  
ORKIN & HANSON, P.C.

By 

Richard L. Byrne  
Registration No. 28,498  
Attorney for Applicants  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219-1818  
Telephone No. 412-471-8815  
Facsimile No. 412-471-4094

Z 562 160 037

US Postal Service

# Receipt for Certified Mail

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- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Mr. Suresh C. Gupta  
3351 Rossmore Way  
Los Alamitos, CA 90720

## COMPLETE THIS SECTION ON DELIVERY

A. Received by (Please Print Clearly) B. Date

C. Signature

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4. Restricted Delivery? (Extra Fee)

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2. Article Number (Copy from service label)

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PS Form 3811, July 1999

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

Richard L. Byrne  
Webb Ziesenh im Logsdon Orkin & Hanson P.C.  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219

COPY MAILED

SEP 17 2002

OFFICE OF PETITIONS

In re Application of :  
Suresh C. Gupta et al :  
Application No. 09/840,572 : DECISION GRANTING  
Filed: April 23, 2001 : STATUS UNDER 37 CFR 1.47(a)  
Attorney Docket No: 3419-010698 :

This is in response to the petition under 37 CFR 1.47(a), filed July 23, 2001.

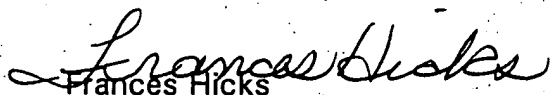
The petition is granted.

Petitioner has shown that the nonsigning inventor has refused to join in the filing of the above-identified application.

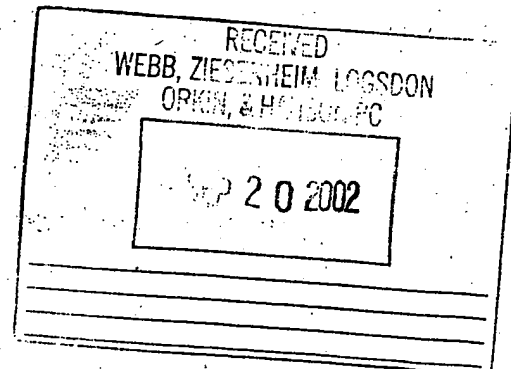
The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status. As provided in Rule 1.47(c), this Office will forward notice of this application's filing to the nonsigning inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

Telephone inquiries regarding this decision should be directed to the undersigned at (703) 305-8680.

This application is being forwarded to Technology Center AU 2834 for appropriate action on the reply received June 11, 2002.

  
Frances Hicks

Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Sur sh C. Gupta  
3351 Rossmor Way  
L s Alamitos, CA 90720

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OFFICE OF PETITIONS

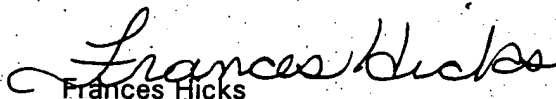
In re Application of  
Suresch C. Gupta et al  
Application No. 09/840,572  
Filed: April 23, 2001  
For: ELECTRICAL SYSTEM FOR TURBINE/ALTERNATOR ON COMMON SHAFT

Dear Mr. Gupta:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join in the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (703) 305-8680. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1 (800) 972-6382 (outside the Washington D.C. area).

  
Frances Hicks  
Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

cc:

Richard L. Byrne  
Webb Ziesenheim Logsdon Orkin & Hanson P.C.  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219